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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/024,681	12/18/2001	Rajiv Manucha	130-0002US	2931

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WONG, CABELLO, LUTSCH, RUTHERFORD & BRUCCULERI,
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HOUSTON, TX 77070

EXAMINER

KINDRED, ALFORD W

ART UNIT	PAPER NUMBER
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2163

DATE MAILED: 09/07/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

10/024,681

Applicant(s)

MANUCHA ET AL.

Examiner

Alford W. Kindred

Art Unit

2163

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 23 June 2005.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-11 and 13-15 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-11 and 13-15 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____

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DETAILED ACTION

1. This action is responsive to communication: Response, filed on 6/23/05.

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

3. Claims 1-11 and 13-15 are rejected under 35 U.S.C. 102(e) as being anticipated by Le et al., US# 2003/0065949 A1.

As per claim 1, Le et al. teaches “a database of records relating to a plurality of shipments of goods, where said goods are shipped between at least two jurisdictions, each record having information relating to at least a first jurisdiction and a second jurisdiction; a database server in communication with said database” (see paragraph [0079], [0088], [0090], and [0094]) “an application server in communication with said database server for providing a user interface to at least one client, each said client associated with a member of a supply chain for a particular one of said plurality of shipments of said goods” (see paragraph [0003], [0024], [0094]) “said interface allowing each said member to modify at least a portion of a record relating to said particular one of said plurality of shipments of said goods” (see paragraph [0005], [0079], and [0094]).

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As per claim 2, Le et al. teaches "wherein said application server only allows a particular one of said members to modify said at least a portion of said record if said particular one of said members has proper security authorization" (see paragraph [0042]-[0043]).

As per claim 3, Le et al. teaches "an electronic data interchange server in communication with said database server" (see paragraph [0052], and [0059]-[0060]).

As per claim 4, "said electronic data interchange server allows said system to exchange information with databases and data repositories of said members of said supply chain" (see paragraph [0005] and [0104]-[0105]).

As per claim 5, Le et al. teaches "wherein said electronic data interchange server allows access to databases maintained by governments of said jurisdictions" (see paragraph [0077], [0079], and [0088]).

As per claim 6, Le et al. "a file transfer protocol server for enabling receipt and transmission of said records using the file transfer protocol" (see paragraph [0016], [0094] [0105]).

As per claim 7, Le et al. "a hyper-text transfer protocol (HTTP) server for enabling receipt of a request for a page and transmission of said page to an origin of said request" (see abstract, paragraph [0016]).

As per claim 8-12, these claims are rejected on grounds corresponding to the argument given above for rejected claims 1-4 and are similarly rejected including the following:

--"query for information stored in databases maintained by governments of said at least two jurisdictions" (see paragraph [0088] and [0090]) "at least two jurisdiction to determine a clearance status of a given shipment of said plurality of shipments of said goods" (see paragraph[0079] and [0094]).

As per claims 13-14, these claims are rejected on grounds corresponding to the arguments given above for rejected claim 1-4 and 8 and are similarly rejected.

As per claims 15, this claim is rejected on grounds corresponding to the arguments given above for rejected claims 1-3 is similarly rejected.

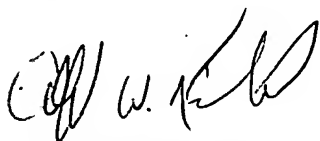
Conclusion

4. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. US# 2004/0243690 A1; US# 2002/0152133 A1; US# 6,785,718 B2.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alford W. Kindred whose telephone number is 571-272-4037. The examiner can normally be reached on Mon-Fri 9:00 am- 5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Safet Metjahic can be reached on (571) 272-4023. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Alford W. Kindred
Patent Examiner
Tech Ctr. 2100